09-10-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nemerow et al.

Serial No.:

09/903,327

Conf. No.:

7374

Filed:

July 10, 2001

For:

BIFUNCTIONAL MOLECULES AND

VECTORS COMPLEXED THEREWITH FOR

TARGETED GENE DELIVERY

Art Unit:

1632

Examiner:

Unassigned

CERTIFICATE OF MAILING BY

"Express Mail" Mailing Label Number

EV 175671356 US

Date of Deposit September 6, 2002

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on the date indicated above and addressed

to:

Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202

Alicia Bradbury

TRANSMITTAL LETTER

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement and an English translation of a reference already of record, for filing in connection with the above-identified application. Since this is a submission of a translation of a document previously provided and made of record for the above-captioned application, a fee for filing this statement should not be due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account Number 50-1213, as stated below:

(X) The Commissioner is hereby authorized to charge the fee for the Information Disclosure Statement and any other fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,

HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Attorney Docket No.: 22908-1228B

Address all correspondence to:

Stephanie L. Seidman, Esq.

HELLER, EHRMAN, WHITE & McAULIFFE 4350 La Jolla Village Drive, 7th Floor San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com



THE UNITED STATES PATENT AND TRADEMARK OFFICE = CH CENTER 1600/2900

Applicant:

Nemerow et al.

Serial No .:

09/903,327

Conf. No.:

7374

Filed:

July 10, 2001

For:

BIFUNCTIONAL MOLECULES AND

VECTORS COMPLEXED THEREWITH FOR TARGETED GENE DELIVERY

Art Unit:

1632

Examiner:

Unassigned

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number

EV 175671356 US

Date of Deposit September 6, 2002

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 C.F.R. §1.10 on the date indicated above and addressed

to:

Commissioner for Patents

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington, VA 22202

Alicia Bradbury

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97(c)

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Since this is a submission of an English equivalent of a document previously provided and made of record for the above-captioned application, a fee for filing this statement should not be due. If it is, however, determined that any fees are due, any fees that may be due in connection with filing these papers may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by applicant or applicant's representative that may be material to the examination of the subject application, applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §1.97-1.98.

A copy of the document which is the English equivalent of a foreign document already of record for the above-captioned application is provided herewith. These document was previously submitted with an Information Disclosure Statement. International PCT Application WO 98/33929, which is in the French language, was cited in the Information Disclosure Statement (item CA) mailed on December 11, 2001.

This foreign patent was previously supplied with an English abstract and is now being 09/11/2002 JBALINAN 00000056 501213 09903327

01 FC:126

180.00 CH

1

U.S.S.N 09/903,327 Nemerow *et al.* Supplemental Information Disclosure Statement

supplied with an English equivalent, Australian Patent No. 731911. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephanie Seidman

Registration No. 33, 779

Attorney Docket 22908-1228B

Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 7th Floor
San Diego, CA 92122-1246

Telephone: 858 450-8400 Facsimile: 858 587-5360 email:sseidman@HEWM.com